

12/11/01
1131 U.S. PTO

12/14/01

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Please type a plus sign (+) inside this box → PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.83(b))

Attorney Docket No. C-474/TEC1157-01
First Inventor Arnold G. Wyatt et al.
Title COMPRESSOR TERMINAL FAULT INTERRUPTION
Express Mail Label No. EL 717629616 USAPPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages **14**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
- Oath or Declaration [Total Pages **2**]
 - Newly executed (original or copy)
 - Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with box 18 completed)
 - DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.53(d)(2) and 1.33(b)
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: /

Prior application information: Examiner _____

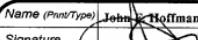
Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label **000832** or Correspondence address below
(Insert Customer No. or Attach bar code label here)

| | | | | | |
|---------|------------------------------------|-----------|--------------|----------|--------------|
| Name | John F. Hoffman BAKER & DANIELS | | | | |
| Address | 111 East Wayne Street Suite 800 | | | | |
| City | Fort Wayne | State | IN | Zip Code | 46802 |
| Country | US | Telephone | 219-424-8000 | Fax | 219-460-1700 |

Name (Print/Type) **John F. Hoffman**
Signature Registration No. (Attorney/Agent) **26,280**Date **12/11/2001**Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231**

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

\$824.00

Complete if Known

| | |
|----------------------|------------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Arnold G. Wyatt et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | C-474/TEC1157-01 |



METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-0385**Deposit Account Name **BAKER & DANIELS**

Charge Any Additional Fee Required

Under 37 CFR § 1.16 and 1.17

Applicant claims small entity status

See 37 CFR § 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------------|---------------|-------------------------------|-----------------|
| 101 | 740 | 201 370 Utility filing fee | 740.00 |
| 106 | 330 | 204 185 Design filing fee | |
| 107 | 510 | 207 255 Plant filing fee | |
| 108 | 740 | 208 370 Reissue filing fee | |
| 114 | 160 | 214 80 Provisional filing fee | |
| SUBTOTAL (1) | | | \$740.00 |

2. EXTRA CLAIM FEES

| Extra Claims | | Fee from below | Fee Paid |
|--------------------|-----------|----------------|--------------|
| Total Claims | 13 -20+ = | 0 | 0.00 |
| Independent Claims | 4 - 3+ = | 1 | 84.00 |
| Multiple Dependent | | | |

| Large Entity Small Entity | | Fee Description |
|---------------------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) | Fee Description |
| 103 | 18 | 203 9 Claims in excess of 20 |
| 102 | 84 | 202 42 Independent claims in excess of 3 |
| 104 | 280 | 204 140 Multiple dependent claim, if not paid |
| 109 | 84 | 209 42 ** Reissue independent claims over original patent |
| 110 | 18 | 210 9 ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | \$84.00 |

*or number previously paid, if prefer. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|---|----------|
| 195 | 130 | 205 65 Surcharge - late filing fee or oath | |
| 127 | 50 | 227 25 Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 130 Non - English specification | |
| 147 | 2,520 | 147 2,520 For filing a request for ex parte reexamination | |
| 112 | 920 | 112 920 Requesting publication of SIR prior to Examiner action | |
| 113,840* | 113,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 Extension for reply within first month | |
| 116 | 400 | 216 200 Extension for reply within second month | |
| 117 | 920 | 217 460 Extension for reply within third month | |
| 118 | 1,440 | 218 720 Extension for reply within fourth month | |
| 128 | 1,960 | 228 980 Extension for reply within fifth month | |
| 119 | 320 | 219 160 Notice of Appeal | |
| 120 | 320 | 220 160 Filing a brief in support of an appeal | |
| 121 | 280 | 221 140 Request for oral hearing | |
| 138 | 1,510 | 138 1,510 Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 Petition to revive - unavoidable | |
| 141 | 1,280 | 241 840 Petition to revive - unintentional | |
| 142 | 1,280 | 242 640 Utility issue fee (or reissue) | |
| 143 | 460 | 243 230 Design issue fee | |
| 144 | 620 | 244 310 Plant issue fee | |
| 122 | 130 | 122 130 Petitions to the Commissioner | |
| 123 | 50 | 123 50 Processing fee under 37 CFR § 1.17(q) | |
| 126 | 160 | 126 160 Submission of Information Disclosure Statement | |
| 581 | 40 | 581 40 Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 370 Request for Continued Examination (RCE) | |
| 169 | 900 | 169 900 Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

SUBMITTED BY

Complete if applicable

| | | | | | |
|-------------------|--------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | John F. Hoffman | Registration No. (Attorney/Agent) | 26,280 | Telephone | 219-424-8000 |
| Signature | Date Dec. 11, 2001 | | | | |

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Arnold G. Wyatt et al.

Docket No.

C-474/TEC1157-01

Serial No.

Filing Date

Examiner

Group Art Unit

Invention: **COMPRESSOR TERMINAL FAULT INTERRUPTION METHOD AND APPARATUS**

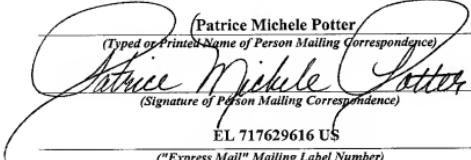
I hereby certify that the following correspondence:

New U.S. Utility Patent Application

(Identify type of correspondence)

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Patrice Michele Potter*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*

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